



## JHAE: A Novel Permutation-Based Authenticated Encryption Mode Based on the Hash Mode JH

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### ABSTRACT

Authenticated encryption (*AE*) schemes provide both privacy and integrity of data. CAESAR is a competition to design and analysis of the *AE* schemes. An *AE* scheme has two components: a mode of operation and a primitive. In this paper JHAE, a novel authenticated encryption mode, is presented based on the JH (SHA-3 finalist) hash mode. JHAE is an on-line and single-pass dedicated *AE* mode based on permutation that supports optional associated data (AD). It is proved that this mode, based on ideal permutation, achieves privacy and integrity up to  $O(2^{n/2})$  queries where the length of the used permutation is  $2n$ . To decrypt, JHAE does not require the inverse of its underlying permutation and therefore saves area space. JHAE has been used by Artemia, one of the CAESAR's first round candidates.

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## 1 Introduction

An authenticated encryption scheme (*AE*) can establish privacy and authentication, simultaneously. The schemes are important since in many applications, such as Transport Layer Security (TLS), the two main goals in information security must be established simultaneously [1]. Now, the NIST-funded CAESAR competition for *AE* [2] which has been held by International Association for Cryptologic Research (IACR), has attracted more attention to the *AE*.

One approach (the first approach) to designing an *AE* scheme is the combining two algorithms which one of them provides confidentiality and the other provides authenticity. The schemes were named generic

compositions [1]. In the approach two separate algorithms with two different keys are required. Then this approach is not efficient. To improve the efficiency of the *AE* schemes based on a generic composition, the *AE* schemes based on a block cipher mode were proposed. In the schemes a block cipher is used in a special mode [3–5]. Although the schemes solved the problem of requiring two separate algorithms in the generic composition schemes, but the necessity for a running the full round block cipher to process each message block in the modes reduce the efficiency of the schemes. To solve this problem and enhance the efficiency of the *AE* schemes based on a block cipher mode, dedicated *AE* schemes were proposed [6–11].

A dedicated *AE* scheme has two main components: an special mode of operation and a primitive such as a random permutation or random function which is used in the mode. Therefore, in designing a new dedicated *AE* one can consider two main stages [12]: designing a new dedicated mode and designing a new

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random permutation or random function to be used in the mode.

Extending a hash function mode to a dedicated *AE* mode is a general approach to design a new *AE* mode. For example, duplex constructions [17], which were used in designing of the CAESAR candidates Ascon [18], ICEPOLE [19], KETJE [20], KEYAK [21], NORX [22],  $\pi$ -Cipher [23], PRIMATES-GIBBON [24], PRIMATES-HANUMAN [24], PRIMATES-APE [24], PRØST-APE [25], and STRIBOB [26], are closely related to the sponge construction [27]. Other examples include FWPAE and FPAE modes [28] that were obtained from FWP [29] and FP [13] hash function modes, respectively. Also, PPAE [30] is a new *AE* mode based on Parazoa hash [31] construction. An important challenge in developing an *AE* mode from another mode (e.g. hash mode) is to prove its security, to ensure that transition the hash mode to another application does not make any structural flaws. Although obtaining an *AE* mode from a hash mode is not complex task, but providing security bounds for the new mode is not straight forward.

### Hash Modes

A hash function has two main components, a mode of operation, and a primitive which is iteratively used by the mode. For example the Merkle-Damgård construction [32, 33] was used in designing of many famous hash functions such as SHA-0 [34] and SHA-1 [35]. Some flaws in the construction (e.g. multi-collision attack [36]) leads to development of new hash constructions such as Wide-pipe [37], Sponge [27], JH [38], Grøstl [15], FP [13], and Parazoa [31]. The last five ones are permutation-based hash modes. JH and Grøstl were two finalists of the NIST SHA-3 hash function competition and Sponge was used by the hash function Keccak [39] which was the winner of the competition. JH mode is similar to the Sponge mode with these differences that in the JH mode, the length of used permutation is the twice of the length of message blocks and the message blocks are added to the rate and capacity sections of the mode. So, the efficiency of JH mode in comparison with the Sponge one, is low.

A comparison of some hash function modes was presented in [13]. Also, a comparison of SHA-3 finalists hash modes was presented in [40]. For the modes Sponge, Grøstl, JH, and FP the comparison was summarized from [13] in Table 1 where  $\epsilon$  is a small fraction due to the preimage attack on JH presented in [41]. Some of the advantages of permutation-based hash modes were given as follows:

- The modes do not need any key schedule.
- Easy-to-invert permutations are usually efficient [13].

### Contribution

In this paper JH hash function mode [38] is used to develop a new dedicated *AE* mode, called JHAE. The motivation for designing JHAE, is the CAESAR competition and the main reasons of using JH mode to design a new *AE* mode are given as follows:

- It is a permutation-based mode.
- Keccak (which uses the Sponge construction), Grøstl, and JH are three finalists of the SHA-3 competition. Compared by Grøstl, JH uses only one permutation and compared by Sponge, it has better indistinguishability upper bound (See Table 1).
- Duplex constructions [17], FPAE [28], and recently PPAE [30] are three *AE* modes based on the Sponge, FP, and Parazoa hash function modes, respectively, and so far no *AE* mode has been presented based on the JH hash function mode.
- Extensive researches on the JH hash mode had done during SHA-3 competition and they have shown that there was no significant vulnerability in this hash mode.

JHAE is an on-line and single-pass dedicated *AE* mode that supports optional associated data (AD). Also, its security relies on using nonces. It is proved in this paper that the mode achieves privacy (indistinguishability under the chosen plaintext attack or IND-CPA) and integrity (integrity of ciphertext or INT-CTXT) up to  $O(2^{n/2})$  queries, where the length of the used permutation is  $2n$ . In addition, it is demonstrated that the integrity bound of JHAE is reduced to the indistinguishability of JH hash mode, which is at least  $O(2^{n/2})$ .

### JHAE in the CAESAR Competition

Artemia [12, 42] is a family of the dedicated authenticated encryption schemes which was submitted to the CAESAR competition. It is a sponge-based authenticated encryption scheme that uses the JHAE mode. Exclude Artemia, all of the sponge-based candidates of CAESAR use the duplex constructions [43]. Until now (in the duration of the CAESAR competition) no flaw has been reported for JHAE and Artemia. Some of the works in the duration of CAESAR which were cited JHAE and Artemia are as follows:

- In [44], Jovanovic et. al. showed that sponge based constructions for authenticated encryption can achieve a significantly higher bound than  $2^{c/2}$ , where  $c$  is their capacity. (Note that the capacity of JHAE, is  $n$ ). They proved that NORX [22], a CAESAR candidate, achieves this bound. They also showed how to apply their proof



**Table 1.** Comparison of some permutation-based hash modes [13].

Mode	Mesg-blk ( $l$ )	Size of $\pi$ ( $a$ )	Rate ( $l/a$ )	Indiff. bound		# of independent permutations	Reference
				lower	upper		
Sponge	$n$	$2n$	0.5	$n/2$	$n/2$	1	[14]
Grøstl	$n$	$2n$	0.5	$n/2$	$n$	2	[15]
JH	$n$	$2n$	0.5	$n/2$	$n(1 - \epsilon)$	1	[16]
FP	$n$	$2n$	0.5	$n/2$	$n$	1	[13]

to seven other Sponge-based CAESAR submissions: Ascon, CBEAM/STRIBOB, ICEPOLE, Keyak, PRIMATES-GIBBON, and PRIMATES-HANUMAN. It was mentioned in [44] that the security proofs may be applicable for the modes of Artemia (e.g. JHAE) and  $\pi$ -Cipher. JHAE is slightly different from the seven modes, therefore, a generalization of the proof of [44] to JHAE is not entirely straightforward.

- In [45] Agrawal et. al. proposed a new sponge-based *AE* technique for handling long ciphertexts in memory constrained devices. They considered all of the nine submissions to the CAESAR which have the sponge construction in their generalized strate. The results of [45] shows that only two schemes Ascon and PRIMATES-GIBBON of the nine sponge-based schemes satisfy the constraints in [45] and suitable for limited memory applications.
- In [46], Hoang et. al. analysed the submissions of the CAESAR by assuming that the nonce (in the schemes) can be repeated. With respect to this assumption, they presented some attacks on the submissions (e.g. Artemia). Since Artemia is a nonce respecting scheme then the attack in [46] does not affect the security of Artemia.
- In [47], Andreeva et al. studied the security of the keyed sponge-based constructions such as JHAE and presented the improved indifferntiability bound for some of the constructions. Their results shows that the indifferntiability bound of JHAE can be improved.

The performance of JHAE and other sponge-based *AE* modes which were submitted to the CAESAR can be compared with respect to [45]. A comparison between Artemia and other dedicated *AE* schemes which were submitted to the CAESAR competition was presented in [43]. In addition to, the comparison between performance of Artemia and other CAESAR submissions can be found in [48]. With respect to [43], the comparison of Artrmia and other sponge-based candidates can be summarized as Table 2. The features of the schemes were inherited from their mode (e.g.

the features of Artemia were inherited from JHAE).

## Organization

The paper is structured as follows: Section 2 gives a specification of JHAE encryption-authentication and decryption-verification. Security of JHAE is analyzed in Section 3. In this section, privacy and integrity of JHAE, are proved in the ideal permutation model and by reducing to the security of JH hash mode, respectively. In Section 4, the rationale behind of the JHAE design is briefly described. Finally conclusion is given in Section 5.

## 2 JHAE Authenticated Encryption Mode

In this section, JHAE mode, depicted in Figure 1, is described. JHAE is developed from JH hash function mode (Figure 2) [38] and iterates a fixed permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ . It is a nonce-based, single-pass, and on-line dedicated *AE* mode that supports AD. To decrypt, JHAE does not require the inverse of its underlying permutation and therefore saved area space.

### 2.1 Encryption and Authentication

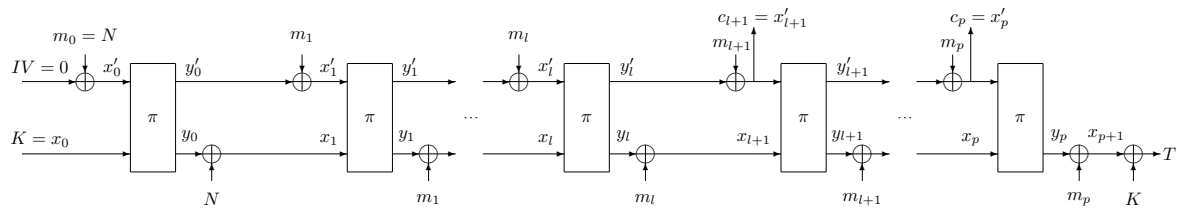
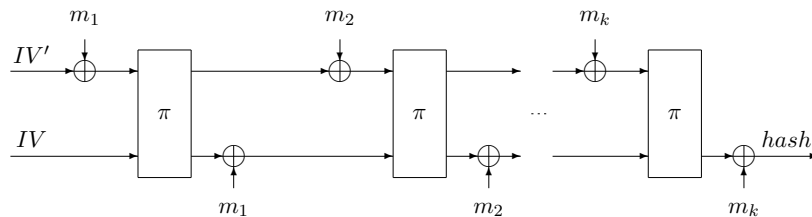
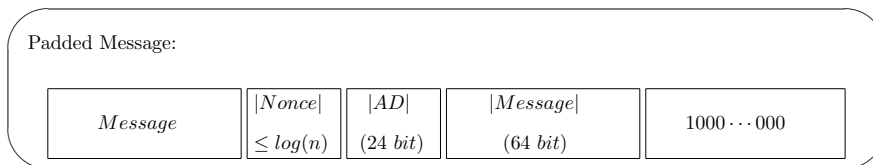
JHAE accepts an  $n$ -bit key  $K$ , an  $n$ -bit nonce  $N$ , a message  $M$ , an optional AD,  $A$ , and produces ciphertext  $C$  and authentication tag  $T$ . Pseudo-code of JHAE's encryption-authentication is depicted in Algorithm 1. It is assumed that the input message, after padding, is a multiple of the block size ( $n$ ). The last block of the original message is concatenated by the padding data as follows (See Figure 3):

- (1) The length of nonce ( $N$ ) is appended to the end of the last block of message.
- (2) The length of the associated data ( $A$ ) is appended to the end of the padded message in 1.
- (3) The length of the message ( $M$ ) is appended to the end of the padded message in 2.
- (4) A bit '1' followed by a sequence of '0' is appended to the end of the padded message in 3 such that



**Table 2.** Comparison between Artemia and other sponge-based candidates of CAESAR [43]. n.n. means unnamed custom primitive.

Sponge-Based	Design	Primitive	Security Proofs	Parallelizable	On-Line	Nonce Misuse Resistance	Inverse-Free	Reference
<i>AE</i>								
Artemia	JHAE	Artemia	✓	×	✓	×	✓	[42]
Ascon	Duplex	Ascon	✓	×	✓	✓	✓	[18]
ICEPOLE	Duplex	Keccak-like	✓	✓	✓	✓	✓	[19]
KETJE	Duplex	Keccak- <i>f</i>	✓	×	✓	×	✓	[20]
KEYAK	Duplex	Keccak- <i>f</i>	✓	✓	✓	×	✓	[21]
NORX	Duplex	n.n.	✓	✓	✓	×	✓	[22]
$\pi$ -Cipher	Duplex	n.n.	×	✓	✓	×	✓	[23]
PRIMATEs-GIBBON	Duplex	PRIMATE	✓	×	✓	×	✓	[24]
PRIMATEs-HANUMAN	Duplex	PRIMATE	✓	×	✓	×	✓	[24]
PRIMATEs-APE	Duplex	PRIMATE	✓	×	✓	✓	×	[24]
PRØST-APE	Duplex	PRØST	×	×	✓	✓	×	[25]
STRIBOB	Duplex	Streebog	✓	×	✓	×	✓	[26]

**Figure 1.** JHAE mode of operation (encryption and authentication), where  $pad(A) = m_1 || m_2 || \dots || m_l$  and  $pad(M) = m_{l+1} || m_{l+2} || \dots || m_p$ **Figure 2.** JH hash mode [16]**Figure 3.** Message padding in JHAE

the padded message is a multiple of the block size  $n$ .

If there is the AD in the procedure, it is padded by a bit '1' followed by a sequence of '0' such that the padded AD would be a multiple of the block size  $n$  (See Figure 4). The padded AD is processed in a way

which is similar to the process of the message block with an exception that ciphertext blocks ( $c_i$ ), are not produced for the AD blocks.



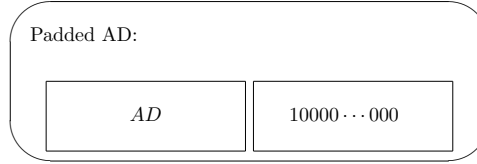


Figure 4. AD padding in JHAE

**Algorithm 1** Encryption and authentication using JHAE

```

1: procedure  $JHAE - E^\pi(K, N, M, A)$ 
2:    $m_1 \| m_2 \| \dots \| m_l \leftarrow pad(A)$ 
3:    $m_{l+1} \| m_{l+2} \| \dots \| m_p \leftarrow pad(M)$ 
4:    $IV \leftarrow 0$ 
5:    $m_0 \leftarrow N$ 
6:    $x'_0 \leftarrow IV \oplus m_0$ 
7:    $x_0 \leftarrow K$ 
8:   for  $i \leftarrow 0, p-1$  do
9:      $y'_i \| y_i \leftarrow \pi(x'_i \| x_i)$ 
10:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
11:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
12:   end for
13:    $y'_p \| y_p \leftarrow \pi(x'_p \| x_p)$ 
14:    $x_{p+1} \leftarrow y_p \oplus m_p$ 
15:    $C \leftarrow x'_{l+1} \| x'_{l+2} \| \dots \| x'_p$ 
16:    $T \leftarrow x_{p+1} \oplus K$ 
17:   return  $(C, T)$   $\triangleright C$  is the ciphertext and  $T$  is
      the authentication tag
18: end procedure

```

**2.2** Decryption and Verification

JHAE decryption-verification procedure, depicted in Algorithm 2, accepts an  $n$ -bit key  $K$ , an  $n$ -bit nonce  $N$ , a ciphertext  $C$ , a tag  $T$ , an optional AD,  $A$ , and decrypts the ciphertext to get message  $M$  and tag  $T'$ . If  $T' = T$ , then it outputs  $M$ ; else, it outputs  $\perp$ .

**3** Security Proofs

In this section, security of JHAE is proved. First, game playing framework proposed by Bellare and Rogaway [49] is used and an upper bound is obtained for the advantage of an adversary that can distinguish the JHAE from a random oracle (IND-CPA) in the ideal permutation model. Then, it is proved that JHAE provides integrity (INT-CTXT) until JH hash mode is indistinguishable from a random oracle or tag can not be guessed. These proofs are followed in two subsections of privacy and integrity.

**3.1** Privacy

In this section, privacy's security bound for JHAE based on ideal permutation  $\pi$  is provided.

**Theorem 1.** *JHAE based on an ideal permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ , is  $(t_A, \sigma, \epsilon)$ -indistinguishable*

**Algorithm 2** Decryption and verification using JHAE

```

1: procedure  $JHAE - D^\pi(K, N, C, T, A)$ 
2:    $m_1 \| m_2 \| \dots \| m_l \leftarrow pad(A)$ 
3:    $c_1 \| c_2 \| \dots \| c_p \leftarrow C$ 
4:    $IV \leftarrow 0$ 
5:    $m_0 \leftarrow N$ 
6:    $x'_0 \leftarrow IV \oplus m_0$ 
7:    $x_0 \leftarrow K$ 
8:    $x'_{l+1} \| x'_{l+2} \| \dots \| x'_{l+p} \leftarrow c_1 \| c_2 \| \dots \| c_p$ 
9:   for  $i \leftarrow 0, l-1$  do
10:     $y'_i \| y_i \leftarrow \pi(x'_i \| x_i)$ 
11:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
12:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
13:   end for
14:   for  $i \leftarrow l, p-1$  do
15:     $y'_i \| y_i = \pi(x'_i \| x_i)$ 
16:     $m_{i+1} = y'_i \oplus x'_{i+1}$ 
17:     $x_{i+1} = y_i \oplus m_i$ 
18:   end for
19:    $y'_p \| y_p \leftarrow \pi(x'_p \| x_p)$ 
20:    $x_{p+1} \leftarrow y_p \oplus m_p$ 
21:    $M \leftarrow m_{l+1} \| m_{l+2} \| \dots \| m_p$ 
22:    $T' \leftarrow x_{p+1} \oplus K$ 
23:   if  $T' = T$  then
24:     return  $M$   $\triangleright M$  is the plaintext
25:   else
26:     return  $\perp$ 
27:   end if
28: end procedure

```

from an ideal AE based on a random function  $RO$  and ideal permutation  $\pi'$  with the same domain and range, for any  $t_A$ ; then,  $\epsilon \leq \frac{\sigma(\sigma-1)}{2^{2n-1}} + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n}$ , where  $\sigma$  is the total number of blocks in queries to JHAE encryption function (denoted by  $JHAE - E$ ),  $\pi$ , and  $\pi^{-1}$ , by the adversary  $\mathcal{A}$ .

*Proof.* To prove the above theorem, a game playing framework based on ten games of  $G_0$  to  $G_9$  is used where  $G_0$  represents JHAE based on ideal permutation  $\pi$ ,  $JHAE - \pi$ ,  $\pi^{-1}$ , and  $G_9$  represents a random oracle,  $RO$ , an ideal permutation  $\pi$  and its inverse  $\pi^{-1}$ . To determine the adversary's advantage on distinguishing JHAE from an ideal AE scheme, the adversary's advantage moving from a game to the next game is calculated.



### Game $G_0$

This game shows the communication of  $\mathcal{A}$  with  $JHAE - \pi, \pi^{-1}$  (see Algorithm 3). In this game, permutations  $\pi$  and  $\pi^{-1}$  are exactly the permutations that are used in the real JHAE mode. Hence:

$$Pr[\mathcal{A}^{G_0} \Rightarrow 1] = Pr[\mathcal{A}^{JHAE-E} \Rightarrow 1]$$

### Game $G_1$

This game is identical to  $G_0$  with an exception that the ideal permutation  $(\pi, \pi^{-1})$  is chosen in a “lazy” manner, oracles  $O_2$  and  $O_3$  respectively (see Algorithm 4). These oracles perfectly simulate two ideal permutations and, since it is assumed that  $\pi$  and  $\pi^{-1}$  in  $G_0$  are ideal permutations, then the distribution of the returned values in  $G_0$  and  $G_1$  are identical. Therefore we have:

$$Pr[\mathcal{A}^{G_1} \Rightarrow 1] = Pr[\mathcal{A}^{G_0} \Rightarrow 1].$$

### Game $G_2$

To generate  $G_2$ , a PRP-PRF switch [49] is done in  $G_1$  (see Algorithm 5). This means that the ideal permutations  $O_2$  and  $O_3$  in  $G_1$  are replaced with two random functions in  $G_2$ . Therefore, the only difference between  $G_2$  and  $G_1$  is oracles  $O_2$  and  $O_3$  (two ideal permutations are stimulated in  $G_1$ ; but, two random functions are stimulated in  $G_2$ ). Unlike the ideal permutation, it is possible to find a collision in a random function. Since in  $G_1$ , there is not collision, in  $G_2$ , There may be a collision in  $O_2$  or  $O_3$  and the adversary can differentiate  $G_2$  from  $G_1$ . Hence, a collision is defined in  $G_2$  as a bad event and denoted by  $bad_0$ . The distribution of the returned values by  $G_2$  and  $G_1$  are identical until  $bad_0$  occurs. Suppose that the adversary can do at most  $\sigma_2$  and  $\sigma_3$  query for  $O_2$  and  $O_3$ , respectively, and let  $\sigma' = \sigma_2 + \sigma_3$ ; Then:

$$Pr[\mathcal{A}^{G_2} \Rightarrow 1] - Pr[\mathcal{A}^{G_1} \Rightarrow 1] =$$

$$Pr[bad_0 \leftarrow true] = Pr[Collision \text{ in } O_2 \text{ or } O_3 \text{ in } G_2] \\ \leq \frac{\sigma_2(\sigma_2 - 1)}{2^{2n+1}} + \frac{\sigma_3(\sigma_3 - 1)}{2^{2n+1}} \leq \frac{\sigma'(\sigma' - 1)}{2^{2n+1}} \leq \frac{\sigma(\sigma - 1)}{2^{2n+1}}.$$

### Game $G_3$

In  $G_3$ , oracle  $O_1$  does not pass any query to the oracle  $O_2$ ; but, it exactly simulates the behavior of oracle  $O_2$  (see  $G_3$  in Algorithm 6). Thus, the distribution of the returned values by  $G_3$  and  $G_2$  are identical from the adversary's view:

$$Pr[\mathcal{A}^{G_3} \Rightarrow 1] = Pr[\mathcal{A}^{G_2} \Rightarrow 1].$$

### Game $G_4$

In  $G_4$  (see Algorithm 7) the purpose is to push the behavior of  $O_1$  one step towards the random oracle. Hence, the queries that are included into  $O_2$  by  $O_1$  and those that are directly queried by the adversary of  $O_2$  or  $O_3$  are separated. In this game, if an intermediate query generated by  $O_1$ , that is expected to be queried to  $O_2$ , has a record on the part of  $O_2$  not included by  $O_1$ , it is considered a bad event and denoted by  $bad_1$ . However, the distribution of responses of queries to  $O_2$  and  $O_3$  remains identical to  $G_3$ . Hence, it can be stated that  $G_3$  and  $G_4$  are identical until  $bad_1$  occurs in  $G_4$ . Assuming that the adversary can do at most  $\sigma_1$  query to  $O_1$  and  $\sigma'$  query to  $O_2$  or  $O_3$ , the adversary's advantage from  $G_3$  to  $G_4$  is bounded as follows:

$$Pr[\mathcal{A}^{G_4} \Rightarrow 1] - Pr[\mathcal{A}^{G_3} \Rightarrow 1] = Pr[bad_1 \leftarrow true] \\ \leq \frac{\sigma'(\sigma_1)}{2^{2n}} \leq \frac{\sigma^2}{2^{2n}}.$$

### Game $G_5$

In  $G_5$  (see Algorithm 8), the responses of  $O_2$  or  $O_3$  are not compatible with those of  $O_1$ . In  $G_5$ , the purpose is to push the behaviour of  $O_2$  and  $O_3$  one step towards the ideal permutations that are independent from  $RO$ . For this reason, two auxiliary tables are generated to keep the input and output of the intermediate tentative queries to  $O_2$  generated by  $O_1$  which are denoted by  $W$  and  $Y$ , respectively. The aim of this game is to not return any record that has been included in  $O_2$  by  $O_1$  when the adversary is directly queried to  $O_2$  or  $O_3$ . Hence, in this game, if a query to  $O_2$  or  $O_3$  has a record in  $W$  and  $Y$ , respectively, it is considered a bad event and denoted by  $bad_2$ . More precisely, on query to  $O_1$ , when it generates a local tentative fresh query  $w_i$  to  $O_2$  and generates  $y_i$  as a response, then  $w_i$  is stored in  $W$  and  $y_i$  is stored in  $Y$ . However, distribution of the responses to queries to  $O_1$  remains identical to  $G_4$ . Hence, it can be stated that  $G_4$  and  $G_5$  are identical until  $bad_2$  occurs in  $G_4$ . To bound the probability of  $bad_2$ , suppose that  $w_j$  is the  $j$ -th block that is queried to  $O_1$  and  $y_j$  is the response of  $O_1$  to the query where  $1 \leq j \leq \sigma_1$ ,  $v_i$  is the  $i$ -th query to  $O_2$  where  $1 \leq i \leq \sigma_2$ , and  $z_l$  is the  $l$ -th query to  $O_3$  where  $1 \leq l \leq \sigma_3$ . Then:

$$Pr[bad_2 \leftarrow true] = \sum_{i=1}^{\sigma_2} \sum_{j=1}^{\sigma_1} Pr[v_i = w_j] \\ + \sum_{l=1}^{\sigma_3} \sum_{j=1}^{\sigma_1} Pr[z_l = y_j] \leq \frac{\sigma_2 \sigma_1}{2^n} + \frac{\sigma_3 \sigma_1}{2^n}.$$

It must be noted that, in the above calculations, the fact that, given the response of a query to  $O_1$ , the adversary can determine half of the bits of each  $w_j \in$



$W$  and  $y_i \in Y$  is considered. Hence, the adversary's advantage from  $G_4$  to  $G_5$  is bounded as follows:

$$Pr[\mathcal{A}^{G_5} \Rightarrow 1] - Pr[\mathcal{A}^{G_4} \Rightarrow 1] \leq \frac{\sigma_1 \times (\sigma_2 + \sigma_3)}{2^n} \leq \frac{\sigma^2}{2^n}.$$

### Game $G_6$

$G_6$  (see Algorithm 9) is identical to  $G_5$  with an exception that  $O_1$  does not keep the history of the intermediate queries. However, this modification has no impact on the distribution of the returned values to the adversary, if there is no bad event in neither of the games. Hence, in the adversary's view, for queries to  $O_1$ , distributions of the returned values in  $G_5$  and  $G_6$  are identical as far as there is not an intermediate collision in  $G_5$ . On the other hand, the distribution of responses to queries to  $O_2$  and  $O_3$  remains identical to  $G_5$ . Hence, the adversary's advantage from  $G_5$  to  $G_6$  is bounded as follows:

$$\begin{aligned} & Pr[\mathcal{A}^{G_6} \Rightarrow 1] - Pr[\mathcal{A}^{G_5} \Rightarrow 1] \\ & \leq \frac{\sigma_1 \times (\sigma_1 - 1)}{2^{2n}} \leq \frac{\sigma \times (\sigma - 1)}{2^{2n}}. \end{aligned}$$

### Game $G_7$

In Game  $G_7$  (see Algorithm 10), the blocks of ciphertext and tag value are generated randomly. However, it has no impact of the distribution of the returned values to the adversary. Hence, distributions of the returned values in  $G_6$  and  $G_7$  are identical:

$$Pr[\mathcal{A}^{G_7} \Rightarrow 1] = Pr[\mathcal{A}^{G_6} \Rightarrow 1].$$

### Game $G_8$

In Game  $G_8$  (see Algorithm 11), a PRF-PRP switch [49] is run; i.e. the ideal random functions  $O_2$  and  $O_3$  in  $G_7$  are replaced with a random permutation and its inverse in  $G_8$ . Therefore, the only difference between  $G_7$  and  $G_8$  is oracles  $O_2$  and  $O_3$ . Thus, the distribution of the returned values by  $G_7$  and  $G_8$  are identical until  $O_2$  or  $O_3$  has a collision in  $G_7$ . Hence, the adversary's advantage from  $G_7$  to  $G_8$  is bounded as follows:

$$\begin{aligned} & Pr[\mathcal{A}^{G_8} \Rightarrow 1] - Pr[\mathcal{A}^{G_7} \Rightarrow 1] \\ & = Pr[\text{Collision in } O_2 \text{ or } O_3 \text{ in } G_7] \\ & \leq \frac{\sigma_2(\sigma_2 - 1)}{2^{2n+1}} + \frac{\sigma_3(\sigma_3 - 1)}{2^{2n+1}} \leq \frac{\sigma'(\sigma' - 1)}{2^{2n+1}} \leq \frac{\sigma(\sigma - 1)}{2^{2n+1}}. \end{aligned}$$

### Game $G_9$

In  $G_8$  for each message/AD block, an appropriate (regarding the length) random value is selected as ciphertext and similarly a random value is selected as the tag value. Next, these random values are concate-

nated and returned to the adversary. However, in  $G_9$  (see Algorithm 12) on query to  $O_1$ , a random string of the length of the desired cipher and tag is selected and returned to the adversary. However, this modification from  $G_8$  to  $G_9$  has no impact on the distribution of the returned values to the adversary. Hence:

$$Pr[\mathcal{A}^{G_9} \Rightarrow 1] = Pr[\mathcal{A}^{G_8} \Rightarrow 1].$$

On the other hand,  $G_8$  perfectly simulates  $RO, \pi, \pi^{-1}$ . Then:

$$Pr[\mathcal{A}^{RO, \pi, \pi^{-1}} \Rightarrow 1] = Pr[\mathcal{A}^{G_9} \Rightarrow 1].$$

Finally, using the fundamental lemma of game playing [49], the following can be stated:

$$\begin{aligned} & Adv_{JHAE}^{Privacy}(\mathcal{A}) \\ & = Pr[\mathcal{A}^{JHAE-E, \pi, \pi^{-1}} \Rightarrow 1] - Pr[\mathcal{A}^{RO, \pi, \pi^{-1}} \Rightarrow 1] \\ & = Pr[\mathcal{A}^{G_0} \Rightarrow 1] - Pr[\mathcal{A}^{G_9} \Rightarrow 1] \\ & = (Pr[\mathcal{A}^{G_0} \Rightarrow 1] - Pr[\mathcal{A}^{G_1} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_1} \Rightarrow 1] - Pr[\mathcal{A}^{G_2} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_2} \Rightarrow 1] - Pr[\mathcal{A}^{G_3} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_3} \Rightarrow 1] - Pr[\mathcal{A}^{G_4} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_4} \Rightarrow 1] - Pr[\mathcal{A}^{G_5} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_5} \Rightarrow 1] - Pr[\mathcal{A}^{G_6} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_6} \Rightarrow 1] - Pr[\mathcal{A}^{G_7} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_7} \Rightarrow 1] - Pr[\mathcal{A}^{G_8} \Rightarrow 1]) \\ & \quad + (Pr[\mathcal{A}^{G_8} \Rightarrow 1] - Pr[\mathcal{A}^{G_9} \Rightarrow 1]) \\ & \leq 0 + \frac{\sigma(\sigma - 1)}{2^{2n+1}} + 0 + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n} + \frac{\sigma(\sigma - 1)}{2^{2n}} + 0 \\ & \quad + \frac{\sigma(\sigma - 1)}{2^{2n+1}} + 0 \leq \frac{\sigma(\sigma - 1)}{2^{2n-1}} + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n}. \end{aligned}$$

□

## 3.2 Integrity

In this section, integrity of ciphertext (INT-CTXT) of JHAE is proved. The INT-CTXT security bound of a permutation based  $AE$  scheme is defined as the maximum advantage of any adversary to produce a valid triple  $(N, A||C, T)$  (e.g. a forgery for the  $AE$  scheme) without directly querying to the scheme. To forge an  $AE$  scheme, the adversary can query to  $AE - E$  (encryption and authentication),  $AE - D$  (decryption and verification), and  $\pi$  or  $\pi^{-1}$ . Thus, two phases can be considered for any forgery attempt as follows:

- (1) **Data gathering:** The adversary gathers some valid triples such as  $S = (N_i, (A||C)_i, T_i)$  where  $1 \leq i \leq q$  by at most  $q$  queries to  $AE - E, \pi$  or  $\pi^{-1}$ .



- (2) **Execution:** The adversary produces a new triple  $(N, A\|C, T)$  such that  $(N, A\|C, T) \notin S$  is accepted by  $AE - D$  as a valid triple.

In this section, it is shown that the advantage of any adversary that makes a reasonable number of queries to  $JHAE - E$ ,  $\pi$ , and  $\pi^{-1}$  is negligible in the forgery attack against  $JHAE$ .

**Theorem 2.** For any adversary  $\mathcal{A}$  that makes total  $\sigma$  block queries to  $JHAE - E$ ,  $\pi$ , or  $\pi^{-1}$ ,  $JHAE$  based on an ideal permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ , is  $(t_A, \sigma, \epsilon)$ -unforgeable, for any  $t_A$ , where  $\epsilon \leq \frac{\sigma^2}{2^n} + \frac{q}{2^n}$ .

*Proof.* Suppose that  $\mathcal{A}$  is an adversary that tries to forge  $JHAE$ .  $\mathcal{A}$  should query at the first to  $JHAE$ ,  $q$  times, and produce a list  $S = \{(N_i, (A\|C)_i, T_i); 1 \leq i \leq q\}$ . Next,  $\mathcal{A}$  produces a new  $(N, A\|C, T) \notin S$  such that  $JHAE - D(N, A\|C, T) \neq \perp$  as its forged triple. All of the possible cases for the new valid  $(N, A\|C, T)$  are as follows (cases 001 to 111).

- (1) **Case 001.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N = N_i, A\|C = (A\|C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .
- (2) **Case 010.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N = N_i, A\|C \neq (A\|C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
- (3) **Case 011.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\forall(N_i, (A\|C)_i, T_i) \in S : A\|C \neq (A\|C)_i, T \neq T_i$ , for  $0 \leq i \leq q$  and  $\exists(N_i, (A\|C)_i, T_i) \in S : N = N_i, A\|C \neq (A\|C)_i, T \neq T_i$ .
- (4) **Case 100.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C = (A\|C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
- (5) **Case 101.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C = (A\|C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .
- (6) **Case 110.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C \neq (A\|C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
- (7) **Case 111.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\forall(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C \neq (A\|C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .

Hence, the adversary's advantage can be upper bound to forge  $JHAE$  as follows:

$$\begin{aligned} Pr[\mathcal{A}_{JHAE}^{INT} \Rightarrow 1] &= Pr[Case\ 001] + Pr[Case\ 010] \\ &+ Pr[Case\ 011] + Pr[Case\ 100] + Pr[Case\ 101] \\ &+ Pr[Case\ 110] + Pr[Case\ 111]. \end{aligned} \quad (1)$$

To determine an upper bound for this advantage, the mentioned cases are categorized as three distinct sets as follows and the adversary's advantage in producing a successful forgery for each set is determined.

### Set 1

Set 1 includes any case that could not be used to successfully forge  $JHAE$ . More precisely, any triple that matches case 001 can not be used to forge  $JHAE$ . The reason comes from the fact that, for  $JHAE$  for a valid triple, if  $A\|C = (A\|C)_i$  and  $N = N_i$  then  $T = T_i$ . Therefore:

$$Pr[Case\ 001] = 0.$$

### Set 2

Set 2 includes any case that can be directly used to differentiate  $JH$  hash mode from a random oracle. To determine these cases,  $JH$  hash mode in Figure 2 is considered. Since  $T = T_i$  (for  $1 \leq i \leq q$ ) implies  $(x_{p+1})_i = (x_{p+1})$ , and  $(x_{p+1})_i$  and  $(x_{p+1})$  are hash outputs in  $JH$  hash mode, then cases 010, 100, and 110 in the forgery attempt of  $JHAE$  lead to collisions in  $JH$  hash mode. In other words, if cases 010, 100, and 110 occur in the forgery attempt of  $JHAE$ , a collision can be found in the  $JH$  hash mode and therefore the mode can be differentiated from a random oracle. Since the bound of the indistinguishability of  $JH$  has been proved to be  $\frac{\sigma^2}{2^n}$  [16], then:

$$Pr[Case\ 010] + Pr[Case\ 100] + Pr[Case\ 110] \leq \frac{\sigma^2}{2^n}.$$

### Set 3

This set includes cases that force the adversary to guess the tag. More precisely, in cases 011, 101, and 111, the adversary finds a new valid  $(N, A\|C, T)$  such that  $\forall(N_i, (A\|C)_i, T_i) \in S : N \neq N_i$  or  $A\|C \neq (A\|C)_i$ . On the other hand, given such a pair of  $N$  and  $A\|C$ , distribution of the valid tag would be uniformly distributed over  $\{0, 1\}^n$ . Hence, at each attempt, the adversary's advantage in generating a valid tag would be  $2^{-n}$ . So:

$$Pr[Case\ 101] + Pr[Case\ 011] + Pr[Case\ 111] \leq \frac{q}{2^n}$$

Finally, using Equation (1):





$$\Pr[\mathcal{A}_{JHAE}^{INT} \Rightarrow 1] \leq \frac{\sigma^2}{2^n} + \frac{q}{2^n} \quad \square$$

### Comparing the security of JHAE and JH

In [41], Bhattacharyya et al. showed that in the ideal permutation model, JH is indifferentiable from a random oracle. They used the approach of Chang and Nandi in [50]. Andreeva et al. in [40] showed that the bounds for JH is not accurate when the security of preimage and second preimage are considered. For this, they considered the JH features and used a direct approach. Finally, Moody et al. in [16] improved the indistinguishability bound for JH. They used three games in the game playing framework. The results of [16] were summarized in Table 1.

In this paper, the game playing framework was used to find an indistinguishability bound for JHAE. The bound is  $2^{n/2}$  and similar to the bound of JH in [16]. This is the first nontrivial security bound for JHAE and can be improved using the technique in [44].

## 4 Design Rationale

In this section, design rationale of JHAE, is described briefly.

### Structure

The structure of JHAE is based on the JH hash function mode. The rationale of using JH mode was mentioned in Section 1.

### Padding

In the padding rule of JHAE, the length of nonce, AD, and message were used. The main rationale of the rule is domain separation between nonce, AD, and message.

### Final Key Addition

With respect to Figure 1, the final tag was computed as  $x_{p+1} \oplus K$ . Since JHAE didn't use explicit finalization, this key addition is required to prevent the length extension attacks.

## 5 Conclusion

In this paper, JHAE, a new dedicated permutation-based AE mode, was introduced. JHAE is an on-line and single-pass dedicated AE mode which did not require the inverse of its underlying permutation to decrypt and therefore saved area space. JHAE was used by Artemia, one of the CAESAR candidates.

In the ideal permutation model, it was proved that JHAE provided IND-CPA and INT-CTXT up to  $q =$

$O(2^{n/2})$ . On the other hand, the best-known attack on JHAE has a complexity up to  $q = O(2^n)$ . Therefore, in particular there remains a gap between the best-known attack and the security bound of JHAE.

For a future work, the security bound of JHAE can be improved using the security model introduced in [44].

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## Appendix A Sequence of Games

**Algorithm 3** Game  $G_0$  perfectly simulates  $(JHAE - \pi, \pi^{-1})$

---

```

1: procedure INITIALIZATION
2:    $K \leftarrow \{0, 1\}^n$ 
3:    $IV \leftarrow 0$ 
4:    $m_0 \leftarrow N$ 
5:    $x'_0 \leftarrow IV \oplus m_0$ 
6:    $x_0 \leftarrow K$ 
7: end procedure
8: procedure  $O_1$ -QUERY( $N, A, M$ )
9:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
10:  for  $i \leftarrow 0, p - 1$  do
11:     $y'_i \parallel y_i \leftarrow O_2(x'_i \parallel x_i)$ 
12:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
13:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
14:  end for
15:   $y'_p \parallel y_p \leftarrow O_2(x'_p \parallel x_p)$ 
16:   $x_{p+1} \leftarrow y_p \oplus m_p$ 
17:   $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
18:   $T \leftarrow x_{p+1} \oplus K$ 
19:  return  $(C, T)$ 
20: end procedure
21: procedure  $O_2$ -QUERY( $m$ )
22:   $v \leftarrow \pi(m)$ 
23:  return  $v$ 
24: end procedure
25: procedure  $O_3$ -QUERY( $v$ )
26:   $m \leftarrow \pi^{-1}(v)$ 
27:  return  $m$ 
28: end procedure

```

---



---

**Algorithm 4** In game  $G_1$  the permutations  $\pi$  and  $\pi^{-1}$  are simulated.

---

```

1: procedure INITIALIZATION
2:    $K \leftarrow \{0, 1\}^n$ 
3:    $IV \leftarrow 0$ 
4:    $m_0 \leftarrow N$ 
5:    $x'_0 \leftarrow IV \oplus m_0$ 
6:    $x_0 \leftarrow K$ 
7: end procedure
8: procedure  $O_1$ -QUERY( $N, A, M$ )
9:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
10:  for  $i \leftarrow 0, p-1$  do
11:     $y'_i \parallel y_i \leftarrow O_2(x'_i \parallel x_i)$ 
12:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
13:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
14:  end for
15:   $y'_p \parallel y_p \leftarrow O_2(x'_p \parallel x_p)$ 
16:   $x_{p+1} \leftarrow y_p \oplus m_p$ 
17:   $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
18:   $T \leftarrow x_{p+1} \oplus K$ 
19:  return ( $C, T$ )
20: end procedure
21: procedure  $O_2$ -QUERY( $m$ )
22:  if  $(m, v) \in X$  then
23:    return  $v$ 
24:  else
25:     $v \leftarrow \{0, 1\}^{2n}$ 
26:  end if
27:  if  $\exists(m', v') \in X$  S.T  $v' = v$  then
28:     $v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}$ 
29:     $X = X \cup (m, v)$ 
30:  end if
31:  return  $v$ 
32: end procedure
33: procedure  $O_3$ -QUERY( $v$ )
34:  if  $(m, v) \in X$  then
35:    return  $m$ 
36:  else
37:     $m \leftarrow \{0, 1\}^{2n}$ 
38:  end if
39:  if  $\exists(m', v') \in X$  S.T  $m' = m$  then
40:     $m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}$ 
41:     $X = X \cup (m, v)$ 
42:  end if
43:  return  $m$ 
44: end procedure

```

---



---

**Algorithm 5** In game  $G_2$  the bad event type-0 may occur.

---

```

1: procedure INITIALIZATION
2:    $X = \emptyset$ 
3:    $K \leftarrow \{0, 1\}^n$ 
4:    $IV \leftarrow 0$ 
5:    $m_0 \leftarrow N$ 
6:    $x'_0 \leftarrow IV \oplus m_0$ 
7:    $x_0 \leftarrow K$ 
8: end procedure
9: procedure  $O_1$ -QUERY( $N, A, M$ )
10:   $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
11:  for  $i \leftarrow 0, p-1$  do
12:     $y'_i \parallel y_i \leftarrow O_2(x'_i \parallel x_i)$ 
13:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
14:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
15:  end for
16:   $y'_p \parallel y_p \leftarrow O_2(x'_p \parallel x_p)$ 
17:   $x_{p+1} \leftarrow y_p \oplus m_p$ 
18:   $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
19:   $T \leftarrow x_{p+1} \oplus K$ 
20:  return ( $C, T$ )
21: end procedure
22: procedure  $O_2$ -QUERY( $m$ )
23:  if  $(m, v) \in X$  then
24:    return  $v$ 
25:  else
26:     $v \leftarrow \{0, 1\}^{2n}$ 
27:  end if
28:  if  $\exists(m', v') \in X$  S.T  $v' = v$  then
29:     $\text{bad}_0 \leftarrow \text{true}$ 
30:     $X = X \cup (m, v)$ 
31:  end if
32:  return  $v$ 
33: end procedure
34: procedure  $O_3$ -QUERY( $v$ )
35:  if  $(m, v) \in X$  then
36:    return  $m$ 
37:  else
38:     $m \leftarrow \{0, 1\}^{2n}$ 
39:  end if
40:  if  $\exists(m', v') \in X$  S.T  $m' = m$  then
41:     $\text{bad}_0 \leftarrow \text{true}$ 
42:     $X = X \cup (m, v)$ 
43:  end if
44:  return  $m$ 
45: end procedure

```

---



---

**Algorithm 6** In game  $G_3$  oracle  $O_2$  is simulated inside oracle  $O_1$ .

---

```

1: procedure INITIALIZATION
2:    $X \leftarrow \emptyset$ 
3:    $K \leftarrow \{0, 1\}^n$ 
4:    $IV \leftarrow 0$ 
5:    $m_0 \leftarrow N$ 
6:    $x'_0 \leftarrow IV \oplus m_0$ 
7:    $x_0 \leftarrow K$ 
8: end procedure
9: procedure  $O_1$ -QUERY( $N, A, M$ )
10:   $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
11:  for  $i \leftarrow 0, p-1$  do
12:    if  $(x'_i \parallel x_i, y'_i \parallel y_i) \in X$  then
13:      return  $y'_i \parallel y_i$ 
14:    else
15:       $y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}$ 
16:    end if
17:    if  $\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X$  S.T.  $(y'_i \parallel$ 
18:       $y_i)' = y'_i \parallel y_i$  then
19:         $bad_0 \leftarrow true$ 
20:      end if
21:       $X \leftarrow X \cup (x'_i \parallel x_i, y'_i \parallel y_i)$ 
22:       $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
23:       $x_{i+1} \leftarrow y_i \oplus m_i$ 
24:    end for
25:    if  $(x'_p \parallel x_p, y'_p \parallel y_p) \in X$  then
26:      return  $y'_p \parallel y_p$ 
27:    else
28:       $y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}$ 
29:    end if
30:    if  $\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X$  S.T.  $(y'_p \parallel$ 
31:       $y_p)' = y'_p \parallel y_p$  then
32:         $bad_0 \leftarrow true$ 
33:      end if
34:       $X \leftarrow X \cup (x'_p \parallel x_p, y'_p \parallel y_p)$ 
35:       $x'_{p+1} \leftarrow y'_p \oplus m_p$ 
36:       $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
37:       $T \leftarrow x_{p+1} \oplus K$ 
38:      return  $(C, T)$ 
39:    end procedure
40:  procedure  $O_2$ -QUERY( $m$ )
41:    if  $(m, v) \in X$  then
42:      return  $v$ 
43:    else
44:       $v \leftarrow \{0, 1\}^{2n}$ 
45:    end if
46:    if  $\exists(m', v') \in X$  S.T.  $v' = v$  then
47:       $bad_0 \leftarrow true$ 
48:       $X = X \cup (m, v)$ 
49:    end if
50:    return  $v$ 
51:  end procedure
52:  procedure  $O_3$ -QUERY( $v$ )
53:    if  $(m, v) \in X$  then
54:      return  $m$ 
55:    else
56:       $m \leftarrow \{0, 1\}^{2n}$ 
57:    end if
58:    if  $\exists(m', v') \in X$  S.T.  $m' = m$  then
59:       $bad_0 \leftarrow true$ 
60:       $X = X \cup (m, v)$ 
61:    end if
62:    return  $m$ 
63:  end procedure

```

---



---

**Algorithm 7** In game  $G_4$  bad event type-1 may occur.

---

```

1: procedure INITIALIZATION
2:    $X_{O_1} \leftarrow \emptyset$ 
3:    $X_{O_2} \leftarrow \emptyset$ 
4:    $X \leftarrow X_{O_1} \parallel X_{O_2}$ 
5:    $K \leftarrow \{0, 1\}^n$ 
6:    $IV \leftarrow 0$ 
7:    $m_0 \leftarrow N$ 
8:    $x'_0 \leftarrow IV \oplus m_0$ 
9:    $x_0 \leftarrow K$ 
10: end procedure
11: procedure  $O_1$ -QUERY( $N, A, M$ )
12:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
13:   for  $i \leftarrow 0, p-1$  do
14:     if  $(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_1}$  then
15:       return  $y'_i \parallel y_i$ 
16:     else if  $(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_2}$  then
17:        $bad_1 \leftarrow true$ 
18:     else
19:        $y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}$ 
20:     end if
21:     if  $\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X$  S.T  $(y'_i \parallel$ 
22:        $y_i)' = y'_i \parallel y_i$  then
23:        $bad_0 \leftarrow true$ 
24:     end if
25:      $X_{O_1} \leftarrow X_{O_1} \cup (x'_i \parallel x_i, y'_i \parallel y_i)$ 
26:      $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
27:      $x_{i+1} \leftarrow y_i \oplus m_i$ 
28:   end for
29:   if  $(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_1}$  then
30:     return  $y'_p \parallel y_p$ 
31:   else if  $(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_2}$  then
32:      $bad_1 \leftarrow true$ 
33:   else
34:      $y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}$ 
35:   end if
36:   if  $\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X$  S.T  $(y'_p \parallel$ 
37:      $y_p)' = y'_p \parallel y_p$  then
38:      $bad_0 \leftarrow true$ 
39:   end if
40:    $X_{O_1} \leftarrow X_{O_1} \cup (x'_p \parallel x_p, y'_p \parallel y_p)$ 
41:    $x_{p+1} \leftarrow y_p \oplus m_p$ 
42:    $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
43:    $T \leftarrow x_{p+1} \oplus K$ 
44:   return  $(C, T)$ 
45: end procedure
46: procedure  $O_2$ -QUERY( $m$ )
47:   if  $(m, v) \in X$  then
48:     return  $v$ 
49:   else
50:      $v \leftarrow \{0, 1\}^{2n}$ 
51:   end if
52:   if  $\exists(m', v') \in X$  S.T  $v' = v$  then
53:      $bad_0 \leftarrow true$ 
54:   end if
55:    $X = X \cup (m, v)$ 
56:   return  $v$ 
57: end procedure
58: procedure  $O_3$ -QUERY( $v$ )
59:   if  $(m, v) \in X$  then
60:     return  $m$ 
61:   else
62:      $m \leftarrow \{0, 1\}^{2n}$ 
63:   end if
64:   if  $\exists(m', v') \in X$  S.T  $m' = m$  then
65:      $bad_0 \leftarrow true$ 
66:   end if
67:    $X = X \cup (m, v)$ 
68:   return  $m$ 
69: end procedure

```

---



---

**Algorithm 8** In  $G_5$ , bad event type-2 may occur.

---

```

1: procedure INITIALIZATION
2:    $X_{O_1} \leftarrow \emptyset$ 
3:    $X_{O_2} \leftarrow \emptyset$ 
4:    $W_{O_1} \leftarrow \emptyset$ 
5:    $W_{O_2} \leftarrow \emptyset$ 
6:    $Y_{O_1} \leftarrow \emptyset$ 
7:    $Y_{O_2} \leftarrow \emptyset$ 
8:    $X \leftarrow X_{O_1} \parallel X_{O_2}$ 
9:    $W \leftarrow W_{O_1} \parallel W_{O_2}$ 
10:   $Y \leftarrow Y_{O_1} \parallel Y_{O_2}$ 
11:   $K \leftarrow \{0, 1\}^n$ 
12:   $IV \leftarrow 0$ 
13:   $m_0 \leftarrow N$ 
14:   $x'_0 \leftarrow IV \oplus m_0$ 
15:   $x_0 \leftarrow K$ 
16: end procedure
17: procedure  $O_1$ -QUERY( $N, A, M$ )
18:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
19:   for  $i \leftarrow 0, p-1$  do
20:     if  $(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_1}$  then
21:       return  $y'_i \parallel y_i$ 
22:     else if  $(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_2}$  then
23:        $bad_1 \leftarrow true$ 
24:     else
25:        $y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}$ 
26:     end if
27:     if  $\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X$  S.T.  $(y'_i \parallel y_i)' = y'_i \parallel y_i$  then
28:        $bad_0 \leftarrow true$ 
29:     end if
30:      $X_{O_1} \leftarrow X_{O_1} \cup (x'_i \parallel x_i, y'_i \parallel y_i)$ 
31:      $W_{O_1} \leftarrow W_{O_1} \cup (x'_i \parallel x_i)$ 
32:      $Y_{O_1} \leftarrow Y_{O_1} \cup (y'_i \parallel y_i)$ 
33:      $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
34:      $x_{i+1} \leftarrow y_i \oplus m_i$ 
35:   end for
36:   if  $(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_1}$  then
37:     return  $y'_p \parallel y_p$ 
38:   else if  $(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_2}$  then
39:      $bad_1 \leftarrow true$ 
40:   else
41:      $y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}$ 
42:   end if
43:   if  $\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X$  S.T.  $(y'_p \parallel y_p)' = y'_p \parallel y_p$  then
44:      $bad_0 \leftarrow true$ 
45:   end if
46:    $X_{O_1} \leftarrow X_{O_1} \cup (x'_p \parallel x_p, y'_p \parallel y_p)$ 
47:    $W_{O_1} \leftarrow W_{O_1} \cup (x'_p \parallel x_p)$ 
48:    $Y_{O_1} \leftarrow Y_{O_1} \cup (y'_p \parallel y_p)$ 
49:    $x_{p+1} \leftarrow y_p \oplus m_p$ 
50:    $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
51:    $T \leftarrow x_{p+1} \oplus K$ 
52:   return  $(C, T)$ 
53: end procedure
54: procedure  $O_2$ -QUERY( $m$ )
55:   if  $(m, v) \in X_{O_2}$  then
56:     return  $v$ 
57:   else if  $m \in W_{O_1}$  then
58:      $bad_2 \leftarrow true$ 
59:   else
60:      $v \leftarrow \{0, 1\}^{2n}$ 
61:   end if
62:   if  $\exists(m', v') \in X$  S.T.  $v' = v$  then
63:      $bad_1 \leftarrow true$ 
64:      $X_{O_2} \leftarrow X_{O_2} \cup (m, v)$ 
65:   end if
66:   return  $v$ 
67: end procedure
68: procedure  $O_3$ -QUERY( $v$ )
69:   if  $(m, v) \in X_{O_2}$  then
70:     return  $m$ 
71:   else if  $v \in Y_{O_1}$  then
72:      $bad_2 \leftarrow true$ 
73:   else
74:      $m \leftarrow \{0, 1\}^{2n}$ 
75:   end if
76:   if  $\exists(m', v') \in X_{O_2}$  S.T.  $m' = m$  then
77:      $bad_1 \leftarrow true$ 
78:      $X_{O_2} \leftarrow X_{O_2} \cup (m, v)$ 
79:   end if
80:   return  $m$ 
81: end procedure

```

---



---

**Algorithm 9** In game  $G_6$   $O_1$  does not keep the history of intermediate queries.

---

```

1: procedure INITIALIZATION
2:    $X \leftarrow \emptyset$ 
3:    $K \leftarrow \{0, 1\}^n$ 
4:    $IV \leftarrow 0$ 
5:    $m_0 \leftarrow N$ 
6:    $x'_0 \leftarrow IV \oplus m_0$ 
7:    $x_0 \leftarrow K$ 
8: end procedure
9: procedure  $O_1$ -QUERY( $N, A, M$ )
10:   $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow pad(A) \parallel pad(M)$ 
11:  for  $i \leftarrow 0, p - 1$  do
12:     $y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}$ 
13:     $x'_{i+1} \leftarrow y'_i \oplus m_{i+1}$ 
14:     $x_{i+1} \leftarrow y_i \oplus m_i$ 
15:  end for
16:   $y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}$ 
17:   $x_{p+1} \leftarrow y_p \oplus m_p$ 
18:   $C \leftarrow x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p$ 
19:   $T \leftarrow x_{p+1} \oplus K$ 
20:  return  $(C, T)$ 
21: end procedure
22: procedure  $O_2$ -QUERY( $m$ )
23:  if  $(m, v) \in X$  then
24:    return  $v$ 
25:  else
26:     $v \leftarrow \{0, 1\}^{2n}$ 
27:  end if
28:   $X = X \cup (m, v)$ 
29:  return  $v$ 
30: end procedure
31: procedure  $O_3$ -QUERY( $v$ )
32:  if  $(m, v) \in X$  then
33:    return  $m$ 
34:  else
35:     $m \leftarrow \{0, 1\}^{2n}$ 
36:  end if
37:   $X = X \cup (m, v)$ 
38:  return  $m$ 
39: end procedure

```

---



---

**Algorithm 10** In game  $G_7$ , blocks of ciphertext and tag value are generated randomly.

---

```

1: procedure INITIALIZATION
2:    $X \leftarrow \emptyset$ 
3: end procedure
4: procedure  $O_1$ -QUERY( $N, A, M$ )
5:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow pad(A) \parallel pad(M)$ 
6:   for  $i \leftarrow 0, p - 1$  do
7:      $x'_i \leftarrow \{0, 1\}^n$ 
8:   end for
9:    $C \leftarrow x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p$ 
10:   $T \leftarrow \{0, 1\}^n$ 
11:  return  $(C, T)$ 
12: end procedure
13: procedure  $O_2$ -QUERY( $m$ )
14:  if  $(m, v) \in X$  then
15:    return  $v$ 
16:  else
17:     $v \leftarrow \{0, 1\}^{2n}$ 
18:  end if
19:   $X = X \cup (m, v)$ 
20:  return  $v$ 
21: end procedure
22: procedure  $O_3$ -QUERY( $v$ )
23:  if  $(m, v) \in X$  then
24:    return  $m$ 
25:  else
26:     $m \leftarrow \{0, 1\}^{2n}$ 
27:  end if
28:   $X = X \cup (m, v)$ 
29:  return  $m$ 
30: end procedure

```

---



---

**Algorithm 11** In game  $G_8$  there is a switch from random function to random permutation .

---

```

1: procedure INITIALIZATION
2:    $X \leftarrow \emptyset$ 
3: end procedure
4: procedure  $O_1$ -QUERY( $N, A, M$ )
5:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
6:   for  $i \leftarrow 0, p-1$  do
7:      $x'_i \leftarrow \{0, 1\}^n$ 
8:   end for
9:    $C \leftarrow x'_{i+1} \parallel x'_{i+2} \parallel \dots \parallel x'_p$ 
10:   $T \leftarrow \{0, 1\}^n$ 
11:  return  $(C, T)$ 
12: end procedure
13: procedure  $O_2$ -QUERY( $m$ )
14:  if  $(m, v) \in X$  then
15:    return  $v$ 
16:  else
17:     $v \leftarrow \{0, 1\}^{2n}$ 
18:  end if
19:  if  $\exists(m', v') \in X$  S.T  $v' = v$  then
20:     $v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}$ 
21:  end if
22:   $X = X \cup (m, v)$ 
23:  return  $v$ 
24: end procedure
25: procedure  $O_3$ -QUERY( $v$ )
26:  if  $(m, v) \in X$  then
27:    return  $m$ 
28:  else
29:     $m \leftarrow \{0, 1\}^{2n}$ 
30:  end if
31:  if  $\exists(m', v') \in X$  S.T  $m' = m$  then
32:     $m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}$ 
33:  end if
34:   $X = X \cup (m, v)$ 
35:  return  $m$ 
36: end procedure

```

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**Algorithm 12** Game  $G_9$  perfectly simulates an ideal AE, i.e.,  $RO, \pi$  and  $\pi^{-1}$ .

---

```

1: procedure INITIALIZATION
2:    $X \leftarrow \emptyset$ 
3: end procedure
4: procedure  $O_1$ -QUERY( $N, A, M$ )
5:    $m_1 \parallel m_2 \parallel \dots \parallel m_p \leftarrow \text{pad}(A) \parallel \text{pad}(M)$ 
6:    $C \leftarrow \{0, 1\}^{|\text{Pad}(M)|}$ 
7:    $T \leftarrow \{0, 1\}^n$ 
8:   return  $(C, T)$ 
9: end procedure
10: procedure  $O_2$ -QUERY( $m$ )
11:  if  $(m, v) \in X$  then
12:    return  $v$ 
13:  else
14:     $v \leftarrow \{0, 1\}^{2n}$ 
15:  end if
16:  if  $\exists(m', v') \in X$  S.T  $v' = v$  then
17:     $v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}$ 
18:  end if
19:   $X = X \cup (m, v)$ 
20:  return  $v$ 
21: end procedure
22: procedure  $O_3$ -QUERY( $v$ )
23:  if  $(m, v) \in X$  then
24:    return  $m$ 
25:  else
26:     $m \leftarrow \{0, 1\}^{2n}$ 
27:  end if
28:  if  $\exists(m', v') \in X$  S.T  $m' = m$  then
29:     $m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}$ 
30:  end if
31:   $X = X \cup (m, v)$ 
32:  return  $m$ 
33: end procedure

```

---



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